Probate: Winding up a Redmayne Bentley Portfolio



Please complete this form in order to administer a Redmayne Bentley portfolio for the purpose of winding up an estate where probate has been granted.

Please consider the options carefully. You can instruct Redmayne Bentley to sell the holdings and distribute the funds accordingly. Alternatively, you can arrange for the holdings to be transferred to the beneficiaries. The beneficiaries may wish to consider opening their own portfolio with Redmayne Bentley or withdrawing a share certificate for each holding (where applicable). Please note, we will require certain mandatory information from them to be able to do so.

Grant of Probate.

If an ISA is held at Redmayne Bentley, and a surviving spouse would like to take advantage of their Additional Permitted Subscription (APS), please contact us for further information.

Before completing this form please read Redmayne Bentley's Stockbroking and Dealing with Advice Terms of Business and Stockbroking Services: Schedule of Charges.

Redmayne Bentley portfolios to be covered by t	his instruction:	
Portfolio ID	Portfolio ID	
Portfolio ID	Portfolio ID	
Please indicate whether you are completing this	form as:	
Solicitor (or other professional body), pleas original or certified copy of the Grant of	e complete: Sections A, B, C, D and sign in Section E1. We will also require an f Probate.	
☐ Agent , with <i>Terms of Business</i> in place with l	Redmayne Bentley, please complete: Sections A, B, D and sign in Section E1.	
☐ Individual executors, please complete: Sec	ctions A, C, D and sign in Section E2. We will also require a Grant of Probate.	
SECTION A		
Full Name of Deceased		
Nationalities	National Identifier*	
	l Insurance Number; if not, or if the deceased had dual Nationality, please see ution on the details you need to provide for the National Identifier, which may be different	
SECTION B		
solicitor/other professional body,	/agent	
Title Surname	Postcode	
First Name(s) (In full)	Email	
Company Name	Tel	
Address		
here to indicate that the executors have appo	and you have not completed a <i>Terms of Business</i> with Redmayne Bentley, please tick pinted you to act on their behalf. All documents related to this estate will be sent to the executors to sign section E2, in addition to completion of section E1.	

Please note, if you are completing this form as an Agent with a Terms of Business in place with Redmayne Bentley, we do not require a

PAGE 1 of 3

SECTION C

EXECUTOR ONE - MAIN CONTACT	EXECUTOR TWO		
Title Surname			
First Name(s) (In full)			
Tel	Tel		
Email	Email		
Country of Tax	Country of Tax		
EXECUTOR THREE	EXECUTOR FOUR		
Title Surname	Title Surname		
First Name(s) (In full)	First Name(s) (In full)		
Tel	Tel		
Email	Email		
Country of Tax	Country of Tax		
If there are additional named executors, please copensure the additional executors sign to agree to the	y this form, amend the numbering, and attach to this form. Please also declaration overleaf.		
AUTHORISED EXECUTOR			
The executor detailed below will be authorised to give de estate and by signing the declaration on page three of this	ealing instructions for the portfolio(s) and receive all correspondence for this is form, all executors agree to this authorisation.		
Executor Name			
Address			
	Postcode		
SECTION D			
OPTION 1. SELLING THE INVESTMENTS			
☐ I/We wish to sell all the investments in portfolio(s) _			
I/We wish to sell only certain investments, or part invesheet and attached it to this form/the authorised exec	restments held in the portfolio(s) and have indicated these on a separate autor will provide instructions in due course.		
Please forward the proceeds of sale, plus any residual case	sh balance held on the portfolio(s) as indicated below:		
☐ By BACS			
Name of Bank or Building Society			
Branch Address	Postcode		
Bank Account Holder's Name(s)			
Bank Sort Code/ Bank Account N	umber Roll Number		
Additional requirements for Non-UK Bank Accounts:			
IBAN	SWIFT/BIC Code		
or building society detailing your name, bank account na	count: please provide a current hard copy document sent from your bank ame, account number and sort code, such as a statement with full headers, hat we can only accept an online bank statement if it is a PDF.		
☐ By cheque (a £2 charge for each cheque will apply	y) payable to		
Please note, this will be sent to the authorised execute	or.		

OPTION 2. TRANSFERRING THE INVESTMENTS I/We hereby authorise Redmayne Bentley to transfer the investments held in the portfolio(s) excluding any sale instructions given above. The beneficiaries wish to open a Nominee portfolio with Redmayne Bentley in order to facilitate the transfer. Please forward a Nominee Agreement Form for a Stockbroking Portfolio to the authorised executor. In the event only certain investments, or part investments wish to be transferred these must be indicated on a separate sheet and attached to this form. The beneficiaries wish to transfer the investments into Redmayne Bentley portfolio ID The beneficiaries wish to transfer the investments to another provider. Please complete a transfer form issued by the provider you wish to use. Charges may apply, please contact us for further information. The surviving spouse wishes to apply for an APS allowance. Please contact us for more information. If you require further information, please contact your usual Redmayne Bentley office/executive or the Investment Operations team on 01689 882 129 or email investmentoperations@redmayne.co.uk **FEES AND CHARGES** Please refer to our Stockbroking Services: Schedule of Charges available at www.redmayne.co.uk/terms or in hard copy upon request. **PRIVACY POLICY** Our Privacy Policy contains information on how we will store and use your personal information and your rights in relation to this. You can view the full policy online at www.redmayne.co.uk/privacy or ask us for a hard copy. **DECLARATION** I/We have read and understood Redmayne Bentley's Stockbroking and Dealing with Advice Terms of Business and Stockbroking Services: Schedule of Charges. • I/We confirm and agree to the instruction chosen in Section D. I/We declare that this agreement form has been completed to the best of my/our knowledge. SECTION EI PLEASE SIGN HERE DATE SOLICITOR / OTHER PROFESSIONAL BODY / AGENT

SECTION E2

PLEASE SIGN HERE

<u> </u>	//		//
EXECUTOR ONE	DATE	EXECUTOR TWO	DATE
	//	企	//
EXECUTOR THREE	DATE	EXECUTOR FOUR	DATE